Scalability of the Directory Entry Cache

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Abstract

This paper presents work that we have done to improve scalability of the directory entry cache (dcache). We investigated scalability problems resulting from many cache lookups, global lock contention, a possibly non-optimal eviction policy, and cacheline bouncing due to global reference counters. This paper provides an overview of solutions we tried, such as fast path walking, utilizing the read-copy update mutual exclusion mechanism [McKenney], and lazy updating of the LRU list of dentries. We conclude with performance results showing scalability improvements.

1 Introduction

Every file and directory has a path. The path must be followed to do a lookup in the dcache to get the correct inode number of the file. A path such as /etc/passwd contains three dentries: ‘/’, ‘etc’, and ‘passwd’. Each dentry in a lookup path has a reference counter called d_count, which is atomically incremented and decremented as the dcache is being checked; this keeps the dentry from being put on the least recently used (LRU) list.

Currently, the dcache is protected by a single global lock, dcache_lock. This lock is held during lookup of dentries (d_lookup) as well as all manipulations of the dentry cache and the assorted lists that maintain hierarchies, aliases and LRU entries. The global dcache_lock seems to be an issue as the number of CPUs increase. We experimented with various ways to improve scaling the dentry cache.

2 Workload and Measures

We have used three main workloads for measuring scaling of the dentry cache: dbench [Pool] (with settings to avoid I/O), httperf [Mosberger], profiles [Hawkes] of Linux(R) kernel compiles, and lockmeter [Hawkes2]. The system used is an 8-way Pentium(R)-III Xeon(TM) with 1MB L2 cache and 2 GB of RAM (unless otherwise noted).
2.1 Summary of Baseline Measurements

The baseline measurements show that dcache_lock suffers from an increasing level of contention for some benchmarks. Although other locks such as the Big Kernel Lock (kernel_flag) and lru_list_lock are much higher in the total contention numbers, once those are dealt with, dcache_lock will move up the list.

The following work focuses on ways to increase scalability of the dcache. While looking at the distribution of lock acquisitions for these workloads, it becomes obvious that d_lookup() is the routine to optimize since it is the routine where the global lock is acquired most often.

2.2 Dbench Results of Baseline

The dbench results from our initial investigations [Sarma] show that lock utilization and contention grow steadily with an increasing number of CPUs. On an 8-way system running 2.4.16 kernel, dbench results show 5.3% utilization with 16.5% contention on this lock (see Figure 1). One significant observation with the lockmeter output is that for this workload d_lookup() is the most common operation.

This snippet of lockmeter output for an 8-way in Table 1 shows that 84% of the time dcache_lock was acquired by d_lookup(). Out of about fifteen million holds of the dcache_lock, d_lookup() comprised twelve million of them. The simple explanation for this is that d_lookup is the main point into the dcache. It does the looping search to find the child of the given parent dentry in the hash, then atomically increments the d_count reference of the dentry before returning it, all while the dcache_lock is held.

Apart from contention, a large number of acquisitions of a global lock result in excessive bouncing of the lock cacheline in SMP machines as the number of CPU’s increase. It is important to reduce contention as well as utilization of the global lock to achieve better performance.

2.3 Httperf Results of Baseline

The httperf results from our initial investigation show a moderate utilization of 6.2% with 4.3% contention in an 8 CPU environment.

A snippet of lockmeter output showing the distribution of acquisition of dcache_lock appears in Table 2.

This shows that 74% of the time the global lock is acquired from d_lookup(). Again, out of about twenty million acquisitions of the dcache_lock, d_lookup took fifteen million of them.

3 Avoiding Global Lock in d_lookup()

In the paper by Paul E. McKenney, Dipankar Sarma, and Orran Krieger [McKenney] they described the Read Copy Update mutual exclusion mechanism (RCU). To summarize, RCU provides support for reading an item without holding a lock and a special callback method
<table>
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<tr>
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<th>CON (%)</th>
<th>MEAN (µs)</th>
<th>MAX (µs)</th>
<th>MEAN (µs)</th>
<th>MAX (µs)</th>
<th>CPU (%)</th>
<th>TOTAL (%)</th>
<th>SPIN (%)</th>
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Table 1: Lockmeter output for 8-way

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<th>CON (%)</th>
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<th>MAX (µs)</th>
<th>MEAN (µs)</th>
<th>MAX (µs)</th>
<th>CPU (%)</th>
<th>TOTAL (%)</th>
<th>SPIN (%)</th>
<th>NAME</th>
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Table 2: Lockmeter output, distribution of acquisition of dcache_lock
to update all references to the data when it is written.

The dcache_lock is held while traversing the d_hash list and while updating the Least Recently Used (LRU) list if the dentry found by d_lookup has a zero reference count. By using RCU we can avoid dcache_lock while reading d_hash list [1].

In this, we were able to do a d_hash lookup lock free but had to take the dcache_lock while updating the LRU list. The patch does provide some decrease in lock hold time and contention level. Table 3 shows lockmeter statistics on a 4-way SMP running the 2.4.16 kernel without any patches while running dbench.

Table 4 is the same dbench run with this first RCU patch applied.

Spinning on the dcache_lock via d_lookup went from 12.7% to 10.6%. This demonstrated that simply doing the lock-free lookup of the d_hash was not enough because d_lookup() also acquired the dcache_lock to update the LRU list if the newly found dentry previously had a zero reference count. This often was the case with the dbench workload, hence we ended up acquiring the lock after almost every lock-free lookup of the hash table in d_lookup().

From there we decided we needed to avoid acquiring dcache_lock so often. Therefore, we tried different algorithms to get rid of this lock from d_lookup(), such as a separate lock for the LRU list.

4 Per Bucket Lock for d_hash and d_lru Lists

The goal was to enable parallel d_lookup. We had to abandon this approach due to race conditions and complicated code. The problem was due to dcache having several additional lists apart from d_hash and d_lru that span across buckets. They are d_alias, d_subdir, and d_child, in order to modify or access any of these lists we would need to take multiple bucket locks. This resulted in a serious lock ordering problem which turned out to be unworkable [2].

5 Separate Lock for the LRU List

The motivation behind having a separate lock for the d_lru list was that as d_lookup() only updates the LRU list, we could relax contention on the dcache_lock by introducing a separate lock for LRU lists. This resulted in most of the load being transferred to the LRU list lock. Many routines held the dcache_lock as well, such as prune_dcache, select_parent, d_prune_aliases, because they read or write other lists apart from the LRU list [3]. Results appear in Table 5.

6 Lazy Updating of the LRU List

Given that lock-free traversal of hash chains did not significantly decrease dcache_lock acquisitions, we looked at the possibility of removing dcache_lock acquisitions completely from d_lookup(). After using RCU based lock-free hash lookup, the only remaining use of the dcache_lock in d_lookup() was to update the LRU list.

Our next approach was to relax the rules of an LRU list by allowing dentries with non-zero reference counts to remain in the list for a short delay before being removed in the update [4]. The beneficial side-effect was that multiple dentries could be processed during the update. Previously, the global dcache_lock was held then dropped for every single entry as each dentry was removed from the list during
### Table 3: Lockmeter statistics, kernel 2.4.16 (unpatched)

<table>
<thead>
<tr>
<th>UTIL (%)</th>
<th>CON (%)</th>
<th>MEAN (µs)</th>
<th>MAX (µs)</th>
<th>MEAN (µs)</th>
<th>MAX (µs)</th>
<th>CPU (%)</th>
<th>TOTAL</th>
<th>SPIN (%)</th>
<th>NAME</th>
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<td>20</td>
<td>2.4</td>
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### Table 4: Lockmeter statistics, first RCU patch

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<th>MEAN (µs)</th>
<th>MAX (µs)</th>
<th>MEAN (µs)</th>
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<td>0.00</td>
<td>69655</td>
<td>0.26</td>
<td>prune_dcache+0x7c</td>
</tr>
<tr>
<td>0.03</td>
<td>0.26</td>
<td>8.7</td>
<td>1474</td>
<td>1.2</td>
<td>2.6</td>
<td>0.00</td>
<td>5300</td>
<td>0.26</td>
<td>select_parent+0x24</td>
</tr>
</tbody>
</table>

### Table 5: Lockmeter statistics with separate lock for LRU List
the update.

To implement this new functionality, we introduced another flag (DCACHE_UNLINK) to mark the dentry for deferred freeing and a per-dentry lock (d_lock) in struct dentry to maintain consistency between the flag and the reference counter (d_count). For all other lists in struct dentry, the reference counter continued to provide mutual exclusion.

Allowing additional dentries to remain in the lru_list could lead to an unusually large number of dentries, causing a lengthy deletion process during updates. We proposed two different approaches to circumvent this problem:

1. Use a timer to kick off periodic updates.
2. Periodically update the d_lru list while already traversing it.

6.1 Timer Based Lazy Updating

A timer was used to remove the referenced dentries from the d_lru list so that it would be kept manageable. To take the dcache_lock from the timer handler we had to use spin_lock_bh() and spin_unlock_bh() for dcache_lock. This created problems with cyclic dependencies in dcache.h.

This approach did not prove to be any better than the non-timer approach. However, the patch is worth looking at as proper tuning of timer frequency may give better results [5].

6.2 Periodic Updates During Traversal

The d_lru list is made up to date through select_parent, prune_dcache and dput. While traversing the d_lru list in these routines, the dentries with non-zero reference counts are removed. This is the solution we chose to include in the lazy LRU patches due to its simplicity.

6.3 Notes on Lazy LRU Implementation

Per dentry lock(d_lock) is needed to protect the d_vfs_flags and d_count in d_lookup. There is very little contention on the per dentry lock, so this will not lead to a bottleneck. With this patch the DCACHE REFERENCED flag does more work. It is being used to indicate the dentries which are not supposed to be on the d_lru list. Right now apart from d_lookup, the per dentry lock (d_lock) is used wherever d_count or d_vfs_flags are read or modified. It is probably possible to tune the code more and relax the locking in some cases.

We have created a new function include/linux/dcache.h: dentry_unhash() to delete a dentry from the d_hash list. It sets the DCACHE_UNLINK bit in d_vfs_flags, which marks the dentry for deferred freeing.

As we do lockless lookup, rmb() is used in d_lookup to avoid out of order reads for d_nexthash and wmb() is used in d_unhash to make sure that d_vfs_flags and d_nexthash() are updated before unlinking the dentry from the d_hash chain.

Every dget() marks the dentry as referenced by setting DCACHE_UNLINK bit in d_vfs_flags. This forced us to hold the per dentry lock in dget. Therefore, dget_locked is not needed.

6.4 Lazy LRU Patch Results

Contention for the dcache_lock reduced in all routines. However, the routines: prune_dcache and select_parent take more time because the d_lru list is longer. This is acceptable as both routines are not in the critical path.

We ran dbench and httperf to measure the effect of lazy dcache and the results were very good. By doing a lock-free d_lookup(), we were able to substantially cut down on the number of dcache_lock acquisitions. This re-
Figure 2: Lazy LRU contention from dbench

Figure 3: Lazy LRU dcache_lock utilization from dbench

dsulted in substantially decreased contention as well as lock utilizations. Results appear in Table 6.

6.5 Dbench Results of Lazy LRU

dbench results showed that lock utilization and contention levels remain flat with lazy dcache as opposed to steadily increasing with the baseline kernel. So for 8 processors, contention level is 0.95% as opposed to 16.5% for the baseline (2.4.16) kernel.

One significant observation is that maximum lock hold time for prune_dcache() and select_parent() are high for this algorithm. How-

ever, these are not frequent operations for this workload. Although, this latency could be an issue with real time applications.

A comparison of baseline (2.4.16) kernel and lazy dcache contention and utilization while running dbench can be seen in Figures 2 and 3.

The throughput results show marginal differences (statistically insignificant) for up to 4 CPUs, of 1% (statistically significant) on 8 CPUs. There is no performance regression in the lower end and the gains are small in the higher end.

6.6 Httperf Results of Lazy LRU

The httperf results showed a similar decrease in lock contention and lock utilization. With 8 CPUs, it showed significantly less contention. See Table 7.

A comparison of the baseline (2.4.16) kernel and lazy dcache contention and utilization while running dbench can be seen in Figures 4 and 5.

The results of httperf (replies/sec for fixed connection rate) showed statistically insignificant differences between base 2.4.16 and lazy dcache kernels.
### Table 6: The effect of lazy dcache

<table>
<thead>
<tr>
<th>UTIL (%)</th>
<th>CON (%)</th>
<th>MEAN (µs)</th>
<th>MAX (µs)</th>
<th>MEAN (µs)</th>
<th>MAX (µs)</th>
<th>CPU (%)</th>
<th>TOTAL SPIN (%)</th>
<th>NAME</th>
</tr>
</thead>
<tbody>
<tr>
<td>0.89</td>
<td>0.95</td>
<td>0.6</td>
<td>6516</td>
<td>19</td>
<td>6411</td>
<td>0.03</td>
<td>2330127</td>
<td>dcache_lock</td>
</tr>
<tr>
<td>0.02</td>
<td>1.7</td>
<td>0.2</td>
<td>20</td>
<td>17</td>
<td>2019</td>
<td>0.00</td>
<td>116150</td>
<td>d_alloc+0x144</td>
</tr>
<tr>
<td>0.03</td>
<td>0.42</td>
<td>0.2</td>
<td>49</td>
<td>35</td>
<td>6033</td>
<td>0.00</td>
<td>233290</td>
<td>d_delete+0x10</td>
</tr>
<tr>
<td>0.00</td>
<td>0.14</td>
<td>0.8</td>
<td>12</td>
<td>3.4</td>
<td>8.5</td>
<td>0.00</td>
<td>5050</td>
<td>d_delete+0x98</td>
</tr>
<tr>
<td>0.03</td>
<td>0.40</td>
<td>0.1</td>
<td>32</td>
<td>34</td>
<td>5251</td>
<td>0.00</td>
<td>349441</td>
<td>d_instantiate+0x1c</td>
</tr>
<tr>
<td>0.05</td>
<td>0.30</td>
<td>1.7</td>
<td>44</td>
<td>22</td>
<td>1770</td>
<td>0.00</td>
<td>46800</td>
<td>d_move+0x38</td>
</tr>
<tr>
<td>0.01</td>
<td>0.16</td>
<td>0.1</td>
<td>21</td>
<td>4.5</td>
<td>334</td>
<td>0.00</td>
<td>116140</td>
<td>d_rehash+0x40</td>
</tr>
<tr>
<td>0.00</td>
<td>0.65</td>
<td>0.7</td>
<td>3.7</td>
<td>8.4</td>
<td>57</td>
<td>0.00</td>
<td>1680</td>
<td>d_vfs_unhash+0x44</td>
</tr>
<tr>
<td>0.56</td>
<td>1.1</td>
<td>0.7</td>
<td>84</td>
<td>18</td>
<td>6411</td>
<td>0.02</td>
<td>1383859</td>
<td>dput+0x30</td>
</tr>
<tr>
<td>0.00</td>
<td>0.88</td>
<td>0.4</td>
<td>2.3</td>
<td>1.3</td>
<td>1.3</td>
<td>0.00</td>
<td>114</td>
<td>link_path_walk+0x2d8</td>
</tr>
<tr>
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<td>4.4</td>
<td>4.3</td>
<td>6516</td>
<td>4.8</td>
<td>32</td>
<td>0.00</td>
<td>3566</td>
<td>prune_dcache+0x14</td>
</tr>
<tr>
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<td>2.3</td>
<td>1.8</td>
<td>6289</td>
<td>4.4</td>
<td>718</td>
<td>0.00</td>
<td>67591</td>
<td>prune_dcache+0x150</td>
</tr>
<tr>
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<td>0.79</td>
<td>0.7</td>
<td>4992</td>
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<td>1116</td>
<td>0.00</td>
<td>6444</td>
<td>select_parent+0x24</td>
</tr>
</tbody>
</table>

### Table 7: Results with 8 CPUs

<table>
<thead>
<tr>
<th>UTIL (%)</th>
<th>CON (%)</th>
<th>MEAN (µs)</th>
<th>MAX (µs)</th>
<th>MEAN (µs)</th>
<th>MAX (µs)</th>
<th>CPU (%)</th>
<th>TOTAL SPIN (%)</th>
<th>NAME</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.4</td>
<td>0.92</td>
<td>0.7</td>
<td>577</td>
<td>2.2</td>
<td>617</td>
<td>0.00</td>
<td>4821866</td>
<td>dcache_lock</td>
</tr>
<tr>
<td>0.02</td>
<td>2.2</td>
<td>0.6</td>
<td>30</td>
<td>1.9</td>
<td>7.8</td>
<td>0.00</td>
<td>100031</td>
<td>d_alloc+0x144</td>
</tr>
<tr>
<td>0.01</td>
<td>1.7</td>
<td>0.2</td>
<td>12</td>
<td>2.2</td>
<td>9.2</td>
<td>0.00</td>
<td>100032</td>
<td>d_instantiate+0x1c</td>
</tr>
<tr>
<td>0.03</td>
<td>1.5</td>
<td>0.7</td>
<td>9.2</td>
<td>2.3</td>
<td>10</td>
<td>0.00</td>
<td>100031</td>
<td>d_rehash+0x40</td>
</tr>
<tr>
<td>0.24</td>
<td>2.1</td>
<td>1.2</td>
<td>577</td>
<td>1.9</td>
<td>283</td>
<td>0.00</td>
<td>521329</td>
<td>dput+0x30</td>
</tr>
<tr>
<td>1.1</td>
<td>0.70</td>
<td>0.7</td>
<td>366</td>
<td>2.4</td>
<td>617</td>
<td>0.00</td>
<td>4000443</td>
<td>link_path_walk+0x2d8</td>
</tr>
</tbody>
</table>
7 Avoiding Cacheline Bouncing of d_count

7.1 fast_walk()

On SMP systems and even more so on some NUMA architectures, repeated operations on the same global variable can cause excessive cacheline bouncing. This is due to the entire cacheline being read into each CPU’s hardware cache while it is being used. For some common directories found in many paths such as ‘/’ or ‘usr’, this excessive cacheline bouncing will be triggered.

Alexander Viro recommended a possible solution that we implemented. He proposed not incrementing and decrementing the reference counter for dentries that are already in the dentry cache. Instead, hold the dcache_lock to keep them from being deleted.

We used the path_lookup function to implement this change [6]:

Before:

```c
read_lock(&current->fs->lock);
nd->mnt = mntget(current->fs->pwdmnt);
nd->dentry = dget(current->fs->pwd);
read_unlock(&current->fs->lock);
}
return (path_walk(name, nd));
```

After:

```c
read_lock(&current->fs->lock);
spin_lock(&dcache_lock);
nd->mnt = current->fs->pwdmnt;
nd->dentry = current->fs->pwd;
read_unlock(&current->fs->lock);
}
nd->flags |= LOOKUP_LOCKED;
return (path_walk(name, nd));
```

The atomic increment of d_count is all that dget and mntget do.

The rest of the changes were in path_walk (implemented by link_path_walk). While the dentry is found in the cache, just keep walking the path. If a dentry is not in the cache, then increment the d_count to keep it synchronized and drop the dcache_lock, and then simply continue. For coding simplicity, the dcache_lock is always dropped in the path_walk code instead of returned to path_lookup to be dropped.

This patch has been accepted by Linus Torvalds starting with the 2.5.11 kernel.

7.2 path_lookup()

We started with a simple cleanup of replicated code involving path_init, path_walk, and __user_walk [7]. There were sixteen occurrences of the following:

```c
if(path_init(x))
    error = path_walk(x)
Which changed to one call:

 error = path_lookup(x)
```

In addition there were six occurrences of the following:

```c
a = getname(b)
if(error)
    return
path_lookup(a)
```
This patch has been accepted by Alan Cox starting in 2.4.19-pre5-ac2. Marcelo has not merged this patch into mainline 2.4 as of this writing.

### 7.3 Fast Path Walking Results

### 7.4 16-way NUMA Results of Fast Walk

Previously, we mentioned d_lookup was the main user of dcache_lock. This is especially noticeable on a 16-way NUMA system. Martin Bligh, in attempting to get the fastest kernel compile, applied this patch on top of a few others [Bligh]. Not only did it reduce time spent spinning on the dcache_lock, it decreased total kernel compile time by 2.5%.

Following is a profile of kernel during make -j32 bzImage on a 16-way NUMA system. This shows an almost 50% reduction in time spinning on the dcache_lock.

```
Kernel compile time is now 23.6 seconds.
```

Here are the top 10 elements of profile before and after your patch (left hand column is the number of ticks spent in each function).

**Before:**

```
22086 total 0.0236
9953 default_idle 191.4038
2874 __text_lock_swap 53.2222
1616 __text_lock_dcache 4.6304
748 lru_cache_add 8.1304
605 d_lookup 2.1920
576 do_anonymous_page 1.7349
511 do_generic_file_read 0.4595
484 lru_cache_del 22.0000
449 __free_pages_ok 0.8569
307 atomic_dec_and_lock 4.2639
```

**After:**

```
21439 total 0.0228
9112 default_idle 175.2308
3364 __text_lock_swap 62.2963
790 lru_cache_add 8.5870
750 __text_lock_namei 0.7184
587 do_anonymous_page 1.7681
572 lru_cache_del 26.0000
569 do_generic_file_read 0.5117
510 __free_pages_ok 0.9733
421 __text_lock_dec_and_lock 17.5417
318 __text_lock_read_write 2.6949
```

...  

```
129 __text_lock_dcache 0.3696
```

### 8 Conclusions

This paper has demonstrated performance improvements of the dcache via the fast path walking patches and the lazy updating of the LRU patches. We are working with the VFS and kernel maintainers to get these patches accepted.

Although the dcache continues to scale, there is more work to be done, much of it happening as this is being written.
9 Availability of Referenced Patches

As of now, all patches have been tested on ext2, ext3, JFS, and /proc filesystem. Our goal was to experiment with dcache, extending it for use with other filesystems, this is in the pipeline.

dcache patches can be found on SourceForge.net under the Linux Scalability Effort project page.

[1] Lockfree read of d_hash
http://prdownloads.sf.net/lse/dcachercu-2.4.10-01.patch

[2] Per Bucket Lock for d_hash and d_lru
http://prdownloads.sf.net/lse/dcachercu-bucket-2.4.16-05.patch

[3] Separate lock for the LRU list
http://prdownloads.sf.net/lse/dcachercu-lru_lock-2.4.16-02.patch

[4] Lazy LRU
http://prdownloads.sf.net/lse/dcachercu-lazy_lru-2.4.17-06.patch

[5] Lazy LRU updating via timer
http://prdownloads.sf.net/lse/dcachercu-lazy_lru-timer-2.4.16-04.patch

http://prdownloads.sf.net/lse/fast_walkA1-2.5.10.patch

[7] Path walking code cleanup
http://prdownloads.sf.net/lse/path_lookupA1-2.4.17.patch

10 Acknowledgements

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Scaling the dentry cache
http://lse.sf.net/locking/dcache/dcach.html

[McKenney] Paul E. McKenney, Dipankar Sarma, and Orran Krieger, Read-Copy Update


[Pool] Martin Pool dbench Samba.org

[Bligh] Martin J. Bligh’s 23 second kernel compile (aka which patches help scalability on NUMA), linux-kernel@vger.kernel.org, March 8, 2002.
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